

	HARTFORD HEALTHCARE POLICY Research Overhead Distribution	
Issuing Department: Research Administration	Original Date: February 26, 2019 Revision Date(s) August 1, 2019 March 2, 2022	Approved By: <hr/> Lizabeth Roper, MHS, Sr. Director of Research

Purpose:

In an effort to stimulate the growth of research at Hartford Healthcare, the Research Program will distribute 15% of the overhead dollars received from Industry Sponsored Investigator Initiated, Federal and State funded studies back to the principal investigators of such projects. Specifically, 15% of these dollars will be allocated to residual research accounts that have been established for each HHC investigator receiving external research dollars. As an example, if we receive \$10,000 in overhead for a particular project, \$1,500 will be transferred into the residual account.

Scope: This mechanism is limited to Research studies conducted at Hartford HealthCare.

Policy:

These funds will be available for research related expenses only, and expenditures will follow the procedures already established for research funds. The investigator accounts will be controlled by each investigator. It is hoped that this mechanism will provide funds that can be utilized by the researchers to enhance research activities at Hartford HealthCare. It is also hoped that this mechanism will specifically encourage our investigators to seek external funds for their research efforts, since it is only by increasing our outside dollar support that we will be able to increase the volume of research activity at our Institution.

Responsibilities:

Investigator - Refer to Residual Account policy on use of funds.

Research Grants and Contracts – Review and approve requests. Monitor accounts.

Requests to use funds greater than \$10,000 must be approved by the Sr. Director of Research, Research Administration.

Procedures:

Corporate Accounting – Provide financial activity support to investigators and to Grants and Contracts regarding overhead distributions. Accounting will allocate indirects to the Investigators residual accounts on a monthly basis.

Definitions:

Investigator Initiated studies - Investigator probes for funds to support his/her research protocol.

Related Policies:

Sponsored Research Cost Transfer Policy

Research Account Management Policy

Finance - Funds, Establishment, Maintenance and Disbursements Policy